



D.J. #5 10-27-02
TDS/Statement

ATTORNEY'S DOCKET NO: C01104/70075

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Kevin J. Dowling and George G. Mueller,
Serial No: 09/917,294
Confirm No.: 8108
Filed: July 26, 2001
For: LIGHTING CONTROL USING SPEECH RECOGNITION
Examiner: Not Yet Assigned
Art Unit: 2632

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CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8(a)

The undersigned hereby certifies that this document is being placed in the United States mail with first-class postage attached, addressed to Commissioner for Patents, Washington, D.C. 20231, on October 10, 2002.

Janine Michalski
Janine Michalski

Commissioner for Patents
Washington, D.C. 20231

Sir:

**STATEMENT FILED PURSUANT TO THE DUTY OF
DISCLOSURE UNDER 37 CFR §§1.56, 1.97 AND 1.98**

Pursuant to the duty of disclosure under 37 C.F.R. §§1.56, 1.97 and 1.98, the Applicant requests consideration of this Information Disclosure Statement.

PART I: Compliance with 37 C.F.R. §1.97

This Information Disclosure Statement has been filed before the mailing date of a first Office Action on the merits in the above-identified case. No fee or certification is required.

PART II: Information Cited

The Applicant hereby makes of record in the above-identified application the information listed on the attached form PTO-1449 (modified). The order of presentation of the references should not be construed as an indication of the importance of the references.



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Janine Michalski

Commissioner for Patents
Washington, D.C. 20231

TRANSMITTAL LETTER

Sir:

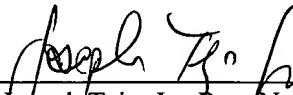
Transmitted herewith for filing are the following documents:

- STATEMENT FILED PURSUANT TO THE DUTY OF
DISCLOSURE UNDER 37 CFR §§1.56, 1.97 AND 1.98
PTO FORM 1449
- RETURN POST CARD

If the enclosed papers are considered incomplete, the Mail Room and/or the Application Branch are respectfully requested to contact the undersigned collect at (617)720-3500, Boston, Massachusetts.

No check is enclosed. If a fee is needed, it may be charged to the account of the undersigned, Deposit Account No. 23/2825. A duplicate of this sheet is enclosed.

Respectfully submitted,

By: 
Joseph Teja, Jr., Reg. No. 45,157
Wolf, Greenfield & Sacks, P.C.
600 Atlantic Avenue
Boston, MA 02210
Telephone (617) 720-3500
Attorneys for Applicants

Docket No. C01104/70075
Dated: October 10, 2002

PART III: Remarks

Documents cited on the attached form PTO-1449 (modified) are enclosed unless otherwise indicated on the attached form PTO-1449 (modified). It is respectfully requested that:

1. The Examiner consider completely the cited information, along with any other information, in reaching a determination concerning the patentability of the present claims;
2. The enclosed form PTO-1449 be signed by the Examiner to evidence that the cited information has been fully considered by the Patent and Trademark Office during the examination of this application;
3. The citations for the information be printed on any patent which issues from this application.

By submitting this Information Disclosure Statement, the Applicant makes no representation that a search has been performed, of the extent of any search performed, or that more relevant information does not exist.

By submitting this Information Disclosure Statement, the Applicant makes no representation that the information cited in the Statement is, or is considered to be, material to patentability as defined in 37 C.F.R. §1.56(b).

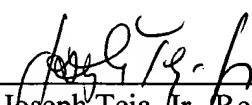
By submitting this Information Disclosure Statement, the Applicant makes no representation that the information cited in the Statement is, or is considered to be, in fact, prior art as defined by 35 U.S.C. §102.

Notwithstanding any statements by the Applicant, the Examiner is urged to form his own conclusion regarding the relevance of the cited information.

An early and favorable action is hereby requested.

Respectfully submitted,

By:


Joseph Teja, Jr., Reg. No. 45,157
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600 Atlantic Avenue
Boston, MA 02210
Telephone (617) 720-3500
Attorneys for Applicants

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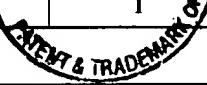
ATTY. DOCKET NO.: C01104/70075

FILING DATE: July 26, 2001

APPLICANT: Kevin J. Dowling, et al.

GROUP ART UNIT: 2632

EXAMINER: Not Yet Assigned



U.S. PATENT DOCUMENTS

Examiner's Initials#	Cite No.	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication or of issue of Cited Document MM-DD-YYYY
		Number	Kind Code		
		* US 2001/0033488	A1	Chliwnyj, et al.	10/25/2001
		* Re. 36,030		Nadeau	01/05/1999
		* 2,909,097		A.E. Alden, et al.	10/20/1959
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INFORMATION DISCLOSURE
STATEMENT BY APPLICANT

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FILING DATE: July 26, 2001

APPLICANT: Kevin J. Dowling, et al.

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EXAMINER: Not Yet Assigned

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APPLICANT: Kevin J. Dowling, et al.

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* 6,135,604	Lin	10/24/2000
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FORM PTO-1449/A and B (Modified)		APPLICATION NO.: 09/917,294	ATTY. DOCKET NO.: C01104/70075
INFORMATION DISCLOSURE STATEMENT BY APPLICANT		FILING DATE: July 26, 2001	
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FOREIGN PATENT DOCUMENTS

Examiner's Initials#	Cite No.	Foreign Patent Document			Name of Patentee or Applicant of Cited Document (not necessary)	Date of Publication of Cited Document MM-DD-YYYY	Translation (Y/N)
		Office/ Country	Number	Kind Code			
			* AU 6 267 9			12/30/1996	
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FORM PTO-1449/A and B (Modified)		APPLICATION NO.: 09/917,294	ATTY. DOCKET NO.: C01104/70075
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		APPLICANT: Kevin J. Dowling, et al.	
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OCT 1 5 2002			GROUP ART UNIT: 2632 EXAMINER: Not Yet Assigned

OTHER ART – NON PATENT LITERATURE DOCUMENTS

Examiner's Initials#	Cite No	Include name of the author (in CAPITAL LETTERS) title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, relevant page(s), volume-issue number(s), publisher, city and/or country where published.	Translation (Y/N)
		* "LM117/LM317A/LM317 3-Terminal Adjustable Regulator", National Semiconductor Corporation, May 1997, pp. 1-20	
		* "DS96177 RS-485 / RS-422 Differential Bus Repeater", National Semiconductor Corporation, February 1996, pp. 1-8	
		* "DS2003 / DA9667 / DS2004 High Current / Voltage Darlington Drivers", National Semiconductor Corporation, December 1995, pp. 1-8	
		* "LM140A / LM140 / LM340A / LM7800C Series 3 – Terminal Positive Regulators", National Semiconductor Corporation, January 1995, pp. 1-14	
		* High End Systems, Inc., Trackspot User Manual, August 1997, Excerpts (Cover, Title page, pp. ii through iii and 2-13 through 2-14)	
		* Artistic License, AL4000 DMX512 Processors, Revision 3.4, June 2000, Excerpts (Cover, pp. 7,92 through 102)	
		* Artistic License, Miscellaneous Drawings (3 sheets) January 12, 1995	
		* Artistic License, Miscellaneous Documents (2 sheets February 1995 and April 1996)	

FORM PTO-1449/A and B (Modified)		APPLICATION NO.: 09/917,294	ATTY. DOCKET NO.: C01104/70075
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	* Newnes's Dictionary of Electronics, Fourth Edition, S.W. Amos, et al., Preface to First Edition, pp. 278-279	
	* "http://www.luminus.cx/projects/chaser", (November 13, 2000), pp. 1-16	

EXAMINER	DATE CONSIDERED
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#EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

* a copy of this reference is not provided as it was previously cited by or submitted to the office in prior applications:

Serial No. 09/669,121, filed September 25, 2000;
 Serial No. 09/425,770, filed October 22, 1999;
 Serial No. 08/920,156, filed August 26, 1997;
 Serial No. 09/213,540, filed December 17, 1998;
 Serial No. 09/213,581, filed December 17, 1998;
 Serial No. 09/213,548, filed December 17, 1998;
 Serial No. 09/815,418, filed March 22, 2001;
 Serial No. 09/213,607, filed December 17, 1998;

Serial No. 09/215,624, filed December 17, 1998;
 Serial No. 09/333,739, filed June 15, 1999;
 Serial No. 09/742,017, filed December 20, 2000;
 Serial No. 09/213,659, filed December 17, 1998;
 Serial No. 09/213,189, filed December 17, 1998;
 Serial No. 09/626,905, filed July 27, 2000;
 Serial No. 09/213,537, filed December 17, 1998;

which are relied upon for an earlier filing date under 35 U.S.C. 120 (continuation, continuation-in-part, and divisional applications).

[NOTE - Must provide a copy of any patent, publication, other information listed, even if it was previously submitted to, or cited by, the U.S. Patent Office in an earlier application, unless the earlier application is identified by the IDS and is relied upon for an earlier filing date under 35 U.S.C. §120, and the copy was provided in the earlier application.]

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